

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

XIA DAI

Application No.: 09/994,982

Filed: November 26, 2001

For: Method And Apparatus To Enhance

**Processor Power Management** 

Art Group: 2116

Examiner: Yanchus III, Paul B.

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Some or all of the references listed on the enclosed PTO/SB/08 were previously identified in the parent application (Application No. 09/677,263, filed September 30, 2000) and copies of the references were furnished at that time. Accordingly, per 37 CFR §1.98(d)(1) additional copies of those references are not submitted herewith.

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The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith. Please charge any additional fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

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I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Carrie Roccaccini

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Sheet 1 of

		Complete if Known	
	Application Number	09/994,982	
	Filing Date	November 26, 2001	
First Named Inventor		Xia Dai	
	Art Unit	2116	
	Examiner Name	Yanchus III, Paul B.	
	Attorney Docket Number	42390P9724X	

U.S. PATENT DOCUMENTS						
Document Number		Publication Date	No. of Santalana	Pages, Columns, Lines, Where		
Examiner Initials*		Number - Kind Code² (if known)	or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
		US-6,311,281	10-30-2001	Pole et al.		
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FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Patent Document			Pages, Columns, Lines,		
Initials*	No.1	Country Code <sup>3</sup> - Number <sup>6</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear		
		JP 110115658 A	01-22-1999	Morikawa et al.			
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Examiner	Date	
Signature	Considered	

'Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>4</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>4</sup>Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

Substitute for form 1449A/PTO		Complete if Known			
INFORMATION DISCLOSURE			CUDE	Application Number	09/994,982
			SUKE	Filing Date	November 26, 2001
STATEMENT BY APPLICANT		First Named Inventor	Xia Dai		
		Art Unit	2116		
	<del>,</del>			Examiner Name	Yanchus III, Paul B.
Sheet	2	of	2	Attorney Docket Number	42390P9724X

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
		"Power Management Clock Change for 603 Processor", IBM Technical Disclosure Bulletin, December 1, 1995, Vol. 38, No. 12, Pages 325-328.	,		

Examiner	Date	
Signature	Considered	

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<sup>&#</sup>x27;Applicant's unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.